

## MULTIACCESS QUANTUM COMMUNICATION AND PRODUCT HIGHER RANK NUMERICAL RANGE

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In the present paper we initiate the study of the product higher rank numerical range. The latter, being a variant of the higher rank numerical range [M.–D. Choi *et al.*, Rep. Math. Phys. **58**, 77 (2006); Lin. Alg. Appl. **418**, 828 (2006)], is a natural tool for studying construction of quantum error correction codes for multiple access channels. We review properties of this set and relate it to other numerical ranges, which were recently introduced in the literature. Further, the concept is applied to the construction of codes for bi-unitary two-access channels with a hermitian noise model. Analytical techniques for both outerbounding the product higher rank numerical range and determining its exact shape are developed for this case. Finally, the reverse problem of constructing a noise model for a given product range is considered.

*Keywords:* multiparty quantum channel, product higher rank numerical range, quantum error correction, Knill–Laflamme conditions

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### 1 Introduction

Quantum information transmission [1, 2, 3, 4, 5] inevitably involves occurrence of errors which faced not properly can disable faithful communication. Developing fruitful and useful strategies for combating these errors is thus one of the main challenges of the theory of quantum channels. Many effort has been put in this direction and several techniques have been developed to overcome destructive influence of coupling to the environment (see, *e.g.*, [6, 7]). Among them, quantum error correction codes (QECC) along with the celebrated Knill–Laflamme (KL) conditions [8] are most widely recognized (see [9] and references therein). Methods of constructing QECC for quantum communication have been previously reported

in the literature [10, 11, 12]. There has also been a significant progress in experimental realizations of the propositions [13, 14, 15].

Recently, a fruitful approach to solving Knill–Laflamme conditions has been put forward [16, 17, 18]. It relies on the concept of the higher rank numerical range of an operator and provides a systematic framework for a construction of quantum error correction codes (QECC). As shown in Ref. [16, 17] it may capture more possibilities than the stabilizer formalism [12].

However, all proposals analyzed so far concerned bipartite communication (see however the related paper [21]). No general approach has been developed to treat the case of the larger number of users of a quantum network. The main purpose of this paper is to provide a mathematical tool of the *product higher rank numerical range* for designing QECC for multiaccess quantum communication. Product higher rank numerical range by the definition is a higher rank numerical range restricted to product projections.

The paper is organized as follows. First, we review basic ideas, including error correction, of quantum communication over (multiparty) quantum channels. Further, we briefly recall some basic features of the higher rank numerical range with applications to error correction. We then move to the main body of the present paper by introducing the notion of the product higher rank numerical range and discussing its basic properties. In the next section we consider construction of QECC for bi-unitary channels with a hermitian noise model. We then demonstrate exemplary applications of our findings to some concrete problems. We also discuss the reverse problem of constructing a noise model for which a product code exists. The manuscript is concluded with a discussion.

## 2 Quantum channels and quantum error correction

Here we briefly recall some basic ideas of communication over quantum channels and set the scenario for further considerations.

### 2.1 Quantum channels

Quantum channel  $\mathcal{L}$  is a completely positive trace-preserving map. Every channel admits the so-called Kraus (or operator-sum) representation as follows  $\mathcal{L}(\varrho) = \sum_i A_i \varrho A_i^\dagger$  with  $\sum_i A_i^\dagger A_i = \mathbb{1}$  [22, 23]. A random unitary channel is the one which has the representation  $\mathcal{L}(\varrho) = \sum_i p_i U_i \varrho U_i^\dagger$ , where  $U_i$  are unitary and  $\sum_i p_i = 1$ ,  $p_i \geq 0$ . When such a channel has two Kraus operators, *i.e.*,  $\mathcal{L}(\varrho) = p U_1 \varrho U_1^\dagger + (1-p) U_2 \varrho U_2^\dagger$ , it is called a bi-unitary channel (BUC). This kind of channels is the main interest of the present paper.

Channels can be classified upon the number of senders and receivers using them. We have the following types of channels according to such a classification [4, 24, 25, 26, 27]: (i) bipartite — one sender and a single receiver, (ii) multiple access — several senders and one receiver, (iii) broadcast — one sender and several receivers, (iv)  $km$ -user —  $k$  senders transmit information to  $m$  receivers ( $k, m > 1$ ).

In our reasonings we mainly concentrate on two-access channels, that is multiple access channels with two senders.

Due to the possibility of a global rotation  $U_1^\dagger \mathcal{L}(\varrho) U_1$  on the output of a channel, both in bipartite and multiple access case one can consider a simplified BUC in general reasonings

$$\mathcal{L}(\varrho) = p \varrho + (1-p) U \varrho U^\dagger. \quad (1)$$

For two-access channels it holds  $\varrho = \varrho_1 \otimes \varrho_2$ , where  $\varrho_i$  is the input of the  $i$ -th sender.

## 2.2 Quantum error correction

QECC is a subspace  $\mathcal{C}$  of a larger Hilbert space  $\mathcal{H}$ . Equivalently, a code is defined to be the projection  $R_{\mathcal{C}}$  onto  $\mathcal{C} \subseteq \mathcal{H}$ . One says that  $\mathcal{C}$  is correctable if all states from this subspace  $\varrho = R_{\mathcal{C}}\varrho R_{\mathcal{C}}$  can be recovered after an action of a channel using some decoding operation  $\mathcal{D}$ , that is  $\mathcal{D} \circ \mathcal{L}(\varrho) = \varrho$ . Such recovery operation exists if and only if

$$R_{\mathcal{C}} A_i^\dagger A_j R_{\mathcal{C}} = \alpha_{ij} R_{\mathcal{C}} \quad (2)$$

for some hermitian matrix  $[L]_{ij} = \alpha_{ij}$ . These conditions are due to Knill and Laflamme (KL) [8].

In the case of a larger number of senders we talk about local codes  $\mathcal{C}_i$ , that is QECC for every sender. It is an immediate observation that KL conditions need only a little adjustment to serve for the case of MACs. Namely, we have (with the obvious notation):

**Observation 1** *Local codes  $\mathcal{C}_i$  are correctable for a MAC with Kraus operators  $\{A_i\}$  with  $k$  inputs if and only if*

$$R_{\mathcal{C}_1} \otimes R_{\mathcal{C}_2} \otimes \cdots \otimes R_{\mathcal{C}_k} A_i^\dagger A_j R_{\mathcal{C}_1} \otimes R_{\mathcal{C}_2} \otimes \cdots \otimes R_{\mathcal{C}_k} = \alpha_{ij} R_{\mathcal{C}_1} \otimes R_{\mathcal{C}_2} \otimes \cdots \otimes R_{\mathcal{C}_k} \quad (3)$$

for some hermitian matrix  $[\mathcal{L}]_{ij} = \alpha_{ij}$ .

This is true since the set of product codes is a subset of the set of all codes. In further parts, we sometimes use the denotation  $R \otimes R'$  ( $R_M \otimes R'_N$ ) or  $S \otimes S'$  for a code for a two-access channel and talk about  $M \otimes N$  codes, where  $M, N$  denote dimensions of local codes.

In case of many usages of a channel,  $A_i$  are tensor products of Kraus operators in KL conditions. In this paper, however, we concentrate on a single usage of a channel. For one use of a BUC, Eq. (1), KL conditions (2) reduce to the *single* condition (we write  $R_{\mathcal{C}}$  shortly as  $R$ ).

$$RUR = \lambda R, \quad (4)$$

which for MACs takes the form

$$R \otimes R' UR \otimes R' = \lambda R \otimes R'. \quad (5)$$

It is useful to introduce the notion of the entropy of a QECC [29]. This entropy quantifies the number of ancillary qubits which are needed for the recovery procedure. For a BUC the entropy of a code is the von Neumann entropy of the matrix

$$\mathcal{L} = \begin{pmatrix} p & \pm \lambda \sqrt{p(1-p)} \\ \pm \lambda \sqrt{p(1-p)} & 1-p \end{pmatrix}. \quad (6)$$

By inspection one finds that  $S(\mathcal{L}) = H(1/2(1 + \sqrt{1 - 4(1-p)(1-|\lambda|^2)}))$ . It is equal to zero iff  $\lambda = -1$  or  $\lambda = +1$  and these values correspond to DFS with trivial (identity) recovery operation <sup>a</sup>.

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<sup>a</sup>It is not clear to us whether it would make any sense to define entropy of a local code.

### 2.3 Higher rank numerical range approach to bipartite QEC

Here we recall the notion of the higher rank numerical range<sup>b</sup> and some of its implications in the area of QEC [16, 17], which are important for our current purposes. The approach we briefly describe below is the one we wish to further modify to be applicable in case of two-access channels.

It is the form of KL conditions, which prompted the authors of Ref. [16, 17, 19, 20] to introduce the notion of higher rank numerical range (or the rank- $k$  numerical range) of an operator. For an operator  $A$ , it is defined to be the following set

$$\Lambda_k(A) = \{\lambda \in \mathbb{C} : P_k A P_k = \lambda P_k\} \quad (7)$$

with  $P_k \in \mathcal{P}_k$ , where  $\mathcal{P}_k$  is the set of rank  $k$  projections. Elements of the set are sometimes called the compression values.

Full characterization of the set  $\Lambda_k(A)$  for hermitian  $A$  has been obtained. Namely, assuming  $a_1 \leq a_2 \leq \dots \leq a_N$  is a spectrum of  $N \times N$  hermitian  $A$ , it holds that  $\Lambda_k(A) = \langle a_k, a_{N-k+1} \rangle$ , which is (a) a true interval whenever  $a_k < a_{N-k+1}$ , (b) a singleton set if  $a_k = a_{N-k+1}$ , (c) an empty set in the remaining case.

The set is also quite well understood for unitary operators [19]. We recall some results below. Let  $U$  be nondegenerate  $n \times n$  unitary matrix with a spectrum  $\text{spec}(U) = \{z_i\}_{i=1}^n$  corresponding to eigenvectors  $\{|v_i\rangle\}_{i=1}^n$ . Let  $\Delta_k(U)$  be the set of  $\lambda$  such that for some  $k$  disjoint subsets  $\delta_1, \delta_2, \dots, \delta_k$  of  $\{1, 2, \dots, n\}$  it holds  $\lambda \in \text{conv}(\{z_i, i \in \delta_j\})$  for all  $i$  (*conv* stands for a convex hull). It was shown<sup>c</sup> that  $\Delta_k(U) \subseteq \Lambda_k(U)$ . The proof of this fact is constructive in a sense that it gives explicitly the projection. Since

$$\lambda = \sum_{j \in \delta_i} \alpha_{ij} z_i \quad (8)$$

with  $\alpha_{ij} \geq 0$  and  $\sum_{j \in \delta_i} \alpha_{ij} = 1$  we can choose the code  $P_C$  to be  $P_C = \sum_{i=1}^k |\psi_i\rangle\langle\psi_i|$  where

$$|\psi_i\rangle = \sum_{j \in \delta_i} \sqrt{\alpha_{ij}} |v_j\rangle \quad (9)$$

to obtain  $P_C U P_C = \lambda P_C$ . One can take the subsets  $\delta_i$  to represent triangles or, in a more restricted variant, sections. Both cases will be considered by us in Section 6.

Relevance of the notion to the issue of construction of QECC is obvious [16, 17].

### 3 Product higher rank numerical range and its basic properties

Motivated by the form of KL conditions, Eq. (3) for multiple access channels we introduce the notion of the product higher rank numerical range. It is defined as follows

**Definition 1** *The  $k_1 \otimes k_2 \otimes \dots$  product higher rank numerical range of an operator  $A$  is defined to be*

$$\Lambda_{k_1 \otimes k_2 \otimes \dots}(A) = \{\lambda \in \mathbb{C} : R \otimes R' \otimes \dots A R \otimes R' \otimes \dots = \lambda R \otimes R' \otimes \dots\} \quad (10)$$

<sup>b</sup>It is the generalization of the notion of the numerical range of an operator  $X$ , which is defined to be the following set:  $\Lambda(X) = \{\lambda \in \mathbb{C} : \langle \psi | X | \psi \rangle = \lambda, \langle \psi | \psi \rangle = 1\}$

<sup>c</sup>In fact for  $n \geq 3k$  much stronger result was proved, namely  $\Delta_k = \Lambda_k$  but to avoid technicalities we use here a weaker version, which is sufficient for our purposes.

for some  $R \in \mathcal{P}_{k_1}$ ,  $R' \in \mathcal{P}_{k_2}, \dots$

In the above, we assume that it holds  $k_i \neq 1$  at least for a single index  $i$ . When all  $k_i$ 's are equal to unity then one deals with the local (or product) numerical range [31], that is a set

$$\Lambda_{\text{loc}}(A) = \{\lambda \in \mathbb{C} : \langle \psi | \langle \phi | A | \psi \rangle | \phi \rangle \text{ for } |\psi\rangle \in \mathcal{H}_a, |\phi\rangle \in \mathcal{H}_b\}. \quad (11)$$

If we choose all projections to be the same then we deal with the *symmetric* product higher rank numerical range  $\Lambda_{k_1 \otimes k_2 \otimes \dots}^{\text{symm.}}(A)$ . On the other hand, if only some of projections are the same we call the set *locally symmetric* product higher rank numerical range  $\Lambda_{k_1 \otimes k_2 \otimes \dots}^{\text{loc. symm.}}(A)$ . In the latter case, in a concrete case of interest, one needs to specify which projections are to be chosen the same. Obviously, the notion is meaningful only for operators acting on  $\mathbb{C}^d \otimes \mathbb{C}^d$ . One has:

**Fact 2** *It holds*

$$\Lambda_{m \otimes n}(A) = \bigcup_U \Lambda_{m \otimes n}^{\text{symm.}}(\mathbb{1} \otimes U A \mathbb{1} \otimes U^\dagger) = \bigcup_V \Lambda_{m \otimes n}^{\text{symm.}}(V \otimes \mathbb{1} A V^\dagger \otimes \mathbb{1}). \quad (12)$$

**Proof:** The defining equation (10) can be rewritten as  $[R \otimes (U^\dagger R U)] A [R \otimes (U^\dagger R U)] = \lambda (R \otimes U^\dagger R U)$  for some unitary  $U$ . It is equivalent to  $(R \otimes R)(\mathbb{1} \otimes U) A (\mathbb{1} \otimes U^\dagger)(R \otimes R) = \lambda R \otimes R$  from which the result follows. The second case is shown in a similar manner. ■

Naturally, one can rewrite the fact for the multipartite case.

We propose to call the  $k_1 \otimes k_2 \otimes \dots$  product higher rank numerical range *multipartite* as opposed to the *bipartite* one, which corresponds to the  $k_1 \otimes k_2$  case.

We also propose to use the dual set, the  $k_1 \otimes k_2 \otimes \dots$  *product codes set* for  $A$ , defined as follows

$$V_{k_1 \otimes k_2 \otimes \dots}(A) = \{R \in \mathcal{P}_{k_1}, R' \in \mathcal{P}_{k_2}, \dots : R \otimes R' \otimes \dots A R \otimes R' \otimes \dots = \lambda R \otimes R' \otimes \dots\}. \quad (13)$$

In a standard, *i.e.* non-product case, there is more than one projection (it may even be an infinite number, when the spectrum of an operator is degenerated) corresponding to the same compression value. This degeneracy may be removed in a product case, but we do not know to what extent this happens in a generic case.

Although we will be interested mainly in BUC for which KL give the single equation (5) to solve, it is natural to introduce the notion of the joint product higher rank numerical range (just as it is defined in the standard case [30]). Namely, one defines

**Definition 2** *The  $k_1 \otimes k_2 \otimes \dots$  joint product higher rank numerical range of operators  $A_i$ ,  $i = 1, 2, \dots, I$  is defined to be the following set*

$$\Lambda_{k_1 \otimes k_2 \otimes \dots}(A_1, A_2, \dots, A_I) = \{(\lambda_1, \lambda_2, \dots, \lambda_I) \in \mathbb{C}^I : R \otimes R' \otimes \dots A_i R \otimes R' \otimes \dots = \lambda_i R \otimes R' \otimes \dots\} \quad (14)$$

for some  $R \in \mathcal{P}_{k_1}$ ,  $R' \in \mathcal{P}_{k_2}, \dots$

For further convenience, we also introduce the common higher rank numerical range which is defined as follows

**Definition 3** The  $k_1 \otimes k_2 \otimes \dots$  common product higher rank numerical range of operators  $A_i$ ,  $i = 1, 2, \dots, I$  is defined to be the following set

$$\Lambda_{k_1 \otimes k_2 \otimes \dots}^{\text{comm.}}(A_1, A_2, \dots, A_I) = \{\lambda \in \mathbb{C} : R \otimes R' \otimes \dots A_i R \otimes R' \otimes \dots = \lambda R \otimes R' \otimes \dots\} \quad (15)$$

for some  $R \in \mathcal{P}_{k_1}$ ,  $R' \in \mathcal{P}_{k_2}, \dots$

In the present paper, we will be interested solely in the cases when the product structure of the projectors corresponds to the tensor product structure of Hilbert space (which is usually uniquely determined by the problem under consideration).

What differs the standard and the product higher rank numerical ranges most strikingly is the fact that while the former is determined solely by the eigenvalues of an operator, the latter would also be affected by the form of eigenvectors (in applications to construction of QECC it is enough to consider normal operators). This makes the product range difficult to determine even with the knowledge of the standard one.

Product higher rank numerical range bears the following natural features <sup>d</sup>

**Property 3**  $\Lambda_{m \otimes n} \subseteq \Lambda_{mn}$

**Property 4**  $\Lambda_{m \otimes n}(A)$  can be empty even when  $\Lambda_{mn}$  is non empty.

**Property 5**  $\Lambda_{m \otimes n} \subseteq \Lambda_{\text{loc}}$

**Property 6**  $\Lambda_{m \otimes n}(A)$  and  $V_{m \otimes n}(A)$  are both compact sets

**Property 7**  $\Lambda_{(m_1+m_2) \otimes n}(A) \subseteq \Lambda_{m_i \otimes n}(A)$ ,  $i = 1, 2$ .

**Property 8**  $\Lambda_{m \otimes n}(A \otimes B) = \Lambda_m(A) \boxtimes \Lambda_n(B)$ .

**Property 9**  $\Lambda_{m \otimes n}(A) = \Lambda_{m \otimes n}(U \otimes V A U^\dagger \otimes V^\dagger)$  for arbitrary unitary  $U$  and  $V$ .

**Property 10**  $\Lambda_{m \otimes n}^{\text{comm.}}(A, B) \subseteq \Lambda_{m \otimes n}^{\text{joint}}(A, B)$

**Property 11**  $\Lambda_{m \otimes n \otimes p}^{\text{symm.}}(A) \subseteq \Lambda_{m \otimes n \otimes p}^{\text{loc. symm.}}(A) \subseteq \Lambda_{m \otimes n \otimes p}(A)$

Before we proceed, we need to recall the notion of the  $C$ -numerical range  $W_C(A)$  of an operator  $A$ , which goes as follows [32]:

$$W_C(A) = \{\text{tr} C^\dagger U^\dagger A U, U \in \mathcal{U}\}. \quad (16)$$

When  $U$ s are taken to be product one deals with the *local*  $C$ -numerical range  $W_C^{\text{loc.}}(A)$  [33]. In cases considered in the present paper the set is a closed interval. With this notion in hand we can give the following bound on the product higher rank numerical range:

**Observation 12** It holds that

$$\Lambda_{k_1 \otimes k_2 \otimes \dots}(A) \subseteq W_{R \otimes R' \otimes \dots}^{\text{loc.}} \left( \frac{1}{k_1 k_2 \dots} A \right). \quad (17)$$

**Proof:** It is enough to take trace of both sides of the defining equation (10) of the product range to conclude that every  $\lambda$  belonging to  $\Lambda_{k_1 \otimes k_2 \otimes \dots}(A)$  must also belong to  $W_{R \otimes R' \otimes \dots}^{\text{loc.}}(A/k_1 k_2 \dots)$ . ■

<sup>d</sup>In what follows we use  $\boxtimes$  to denote the Minkowski product of two sets on the complex plane, which is defined as follows:  $Z_1 \boxtimes Z_2 = \{z : z = z_1 z_2; z_1 \in Z_1; z_2 \in Z_2\}$ .

Naturally, we assume that  $W^{\text{loc.}}$  is local according to the same cut as the higher rank range is product.

The observation will turn out to be very useful in bounding the product higher rank numerical range. Its application, however, will require a numerical optimization.

We single out also some chosen properties of the common range, which will serve as a basis for one of the examples.

**Property 13**  $\Lambda_k^{\text{comm.}}(A, \mathbb{1} - A) = \{\frac{1}{2}\}$  or  $\emptyset$ .

**Property 14** Let  $Q = \sum_{i=1}^l |i\rangle\langle i| \otimes Q_i$ , with orthonormal basis  $\{|i\rangle\}$  and rank  $q_i$  projections  $Q_i$ , act on  $d_1 \otimes d_2$ . Then following holds: (i) if  $l = d_1$  then  $\Lambda_{d_1 \otimes k}(Q) = \Lambda_k^{\text{comm.}}(Q_1, Q_2, \dots, Q_{d_1})$ , (ii) if  $l < d_1$  then either  $\Lambda_{d_1 \otimes k}(Q) = \emptyset$  or  $\Lambda_{d_1 \otimes k}(Q) = \{0\}$  with the latter holding if and only if  $0 \in \Lambda_k^{\text{comm.}}(Q_1, Q_2, \dots, Q_l)$ .

**Property 15**  $\Lambda_k^{\text{comm.}}(A_1, A_2, \dots, A_K) \subseteq \Lambda_k(\sum_{i=1}^K \alpha_i A_i)$  with  $\sum_i \alpha_i = 1$ . In particular, for  $K = 2$ ,  $\Lambda_k^{\text{comm.}}(A_1, A_2) \subseteq \Lambda_k(\alpha A_1 + (1 - \alpha) A_2)$ .

**Proof of Property 13:** Adding (i)  $RAR = \lambda R$  and (ii)  $R(\mathbb{1} - A)R = \lambda R$  we obtain  $R = 2\lambda R$ , thus  $\lambda = 1/2$  if equation (i) has a solution with this value, if it does not —  $\Lambda_k(A)$  is empty and so is  $\Lambda_k^{\text{comm.}}(A, \mathbb{1} - A)$ . ■

**Proof of Property 14:** (i) We look for  $\lambda$  obeying

$$R \otimes R' \left( \sum_{i=1}^{d_1} |i\rangle\langle i| \otimes Q_i \right) R \otimes R' = \lambda R \otimes R' \quad (18)$$

for projections  $R$  and  $R'$  rank, respectively,  $d_1$  and  $k$ . Since  $\sum_{i=1}^{d_1} |i\rangle\langle i| = \mathbb{1}_{d_1}$ , it must be  $R = \mathbb{1}_{d_1}$ , thus Eq. (18) turns into

$$\mathbb{1}_{d_1} \otimes R' Q_i R' = \lambda \mathbb{1}_{d_1} \otimes R', \quad (19)$$

from which immediately follows

$$R' Q_i R' = \lambda R' \quad \forall_i \quad (20)$$

concluding the proof of this part. (ii) replacing upper limit in the sum with  $l < d_1$  and considering diagonal terms we obtain

$$R' Q_i R' = \lambda R', \quad i = 1, 2, \dots, l \quad (21)$$

and  $d_1 - l$  equations  $0 = \lambda R'$ . Thus  $\lambda$  must be equal to zero and this value must be in the common range of  $Q_i$ ,  $i = 1, 2, \dots, l$ . The claim follows. ■

**Proof of Property 15:** Let  $K = 2$  for simplicity. We have (i)  $RA_1R = \lambda R$  and (ii)  $RA_2R = \lambda R$ . We multiply the first equation by  $\alpha$ , the second by  $1 - \alpha$  and add such equations to obtain (iii)  $R(\alpha A_1 + (1 - \alpha) A_2) = \lambda R$ . Thus each  $\lambda$  which fulfills (i-ii) for some  $R$ , fulfills also (iii). The result then follows. ■

Our special interest in finding product higher rank numerical ranges of projections stems from the special type of noise we mainly focus on in the paper (see Eq. (22)).

#### 4 Two-access quantum communication: QECC for a BUC

We now specify the type of noise we will further consider. We assume that  $U$  is hermitian. Hermiticity of a unitary matrix implies that it must be of the form

$$U = P - Q \quad (22)$$

with some projections  $P, Q$ .

##### 4.1 Zero entropy codes ( $\lambda = \pm 1$ )

In Ref. [21] the following theorem was proved<sup>e</sup>

**Theorem 16** *A  $M \otimes N$  DFS for a channel Eq.(1) with the noise model Eq. (22) exists if and only if at least one of the subspaces  $\mathcal{P} \equiv P\mathcal{H}$  and  $\mathcal{Q} \equiv Q\mathcal{H}$  is  $(d-M, d-N)$ -decomposable.*

It was also shown that it can be generalized to the generic case of  $U$  allowing for DFS, *i.e.*,  $U = P_0 + \sum_k e^{i\beta_k} Q_k$  with  $r(P_0) \geq MN$ .

In Ref. [21] we have applied the theorem to several concrete examples. We recall two of them (in both cases  $\mathcal{Q} = \text{span}\{|\phi_i\rangle\}$ )

- for the following choice of  $\phi_i$  no  $2 \otimes 2$  code exists

$$\begin{aligned} |\phi_1\rangle &= \frac{1}{\sqrt{2}}(|11\rangle + |22\rangle), \\ |\phi_2\rangle &= \frac{1}{\sqrt{2}}(|10\rangle + |21\rangle), \end{aligned}$$

- for the following choice the  $2 \otimes 2$  code does exist

$$\begin{aligned} |\phi_1\rangle &= \frac{1}{\sqrt{2}}(|02\rangle + |10\rangle), \\ |\phi_2\rangle &= \frac{1}{\sqrt{2}}(|01\rangle + |20\rangle). \end{aligned}$$

##### 4.2 Higher entropy codes ( $\lambda \neq \pm 1$ )

We now move to the case of higher entropy codes, that is for  $\lambda = \pm 1$  in Eq. (5).

Let us start with some general remarks concerning the non product case, that is Eq. (4). Assuming Eq. (22) and denoting

$$\gamma = (1 - \lambda)/2 \quad (23)$$

we obtain

$$RQR = \gamma R, \quad (24)$$

or, equivalently,

$$RPR = (1 - \gamma)R \quad (25)$$

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<sup>e</sup>See Ref. [21] for explanation of the notion of the decomposability and the justifications of conclusions concerning examples.



It is almost evident that if Eq. (24) is to be fulfilled the rank<sup>f</sup> of  $Q$  must be at least as large as the rank of  $R$  but it cannot be too large since Eq. (25) must also hold. The theory of the higher rank numerical range [16, 17] makes this intuition strict and we have that  $0 \leq \gamma \leq 1$  only when  $r(R) \leq r(Q) \leq n - r(R)$ , where  $n$  is the dimension of the whole space. We will later prove a result of this kind for ranks of the reduced matrices of  $P$  and  $Q$ . It is clear that in such situations if  $\gamma \in \Lambda(Q)$  then it is also the case for  $1 - \gamma$  for some other projection. In this way we have a dual pair of projections, say  $R$  and  $\tilde{R}$ . Notice that both codes have exactly the same entropy (see Eq. (6)).

The main result of this section is the necessary condition for  $\gamma$  to belong to the product higher rank numerical range of a projection.

**Theorem 17** *Let  $Q_l$  be a rank  $l$  projection. Assume  $R_M \otimes R'_N Q_l R_M \otimes R'_N = \gamma R_M \otimes R'_N$  holds. Let further  $x_1 \geq x_2 \geq \dots$  be eigenvalues of  $\text{tr}_B Q_l$ , and  $y_1 \geq y_2 \geq \dots$  of  $\text{tr}_A Q_l$ . Then*

$$\begin{aligned} \sum_{i=1}^M |x_i - N\gamma| + \sum_{i=M+1}^{r(\text{tr}_B Q_l)} x_i &\leq MN\sqrt{(1-\gamma)(1+3\gamma)} + l - MN, \\ \sum_{i=1}^N |y_i - M\gamma| + \sum_{i=N+1}^{r(\text{tr}_A Q_l)} y_i &\leq MN\sqrt{(1-\gamma)(1+3\gamma)} + l - MN. \end{aligned} \quad (26)$$

The proof of the theorem is based on several lemmata (some concerning also the non-product case), which we shall prove below.

**Lemma 1** *Fix a number  $n$ . Let  $R = \sum_{i=1}^k |e_i\rangle\langle e_i|$  be a projection operator (the states  $|e_i\rangle$  are orthonormal) onto a subspace of an  $n$  dimensional space  $\mathcal{H}$ . Denote with  $\mathcal{B}_R = \{|e_1\rangle, |e_2\rangle, \dots, |e_k\rangle\}$  and  $\mathcal{B}^\perp$  its orthonormal complement, so that  $\text{span}\{\mathcal{B}_R \oplus \mathcal{B}^\perp\} = \mathcal{H}$ . If Eq. (24) holds with  $0 < \gamma < 1$  then  $Q$  must have the following form in  $\mathcal{B}_R \oplus \mathcal{B}^\perp$ ,*

$$Q = \left[ \begin{array}{cc|cc|c} & \gamma & & \sqrt{\gamma(1-\gamma)} & 0 \\ & & \ddots & & \\ & & & \ddots & \\ \hline & & & \gamma & \sqrt{\gamma(1-\gamma)} \\ \sqrt{\gamma(1-\gamma)} & & & & 1-\gamma \\ & & \ddots & & \\ \hline & & & \sqrt{\gamma(1-\gamma)} & 1-\gamma \\ 0 & & & & 0 \\ \hline & & & & S \end{array} \right], \quad (27)$$

where non zero blocks with off diagonal terms equal to zero are of size  $k \times k$  and  $S$  is a projector of size  $(n - 2k) \times (n - 2k)$ .

**Proof:** If Eq. (24) holds then  $Q$ , which is hermitian, must necessarily have the form

$$Q = \begin{pmatrix} \gamma \mathbb{1}_k & A \\ A^\dagger & B \end{pmatrix} \quad (28)$$

<sup>f</sup>In this context the rank of a matrix is understood in a standard sense.

with  $\mathbb{1}_k$  in the basis of the eigenvalues of  $R$ . Here  $A$  is a rectangular  $k \times k'$  matrix, while  $B$  denotes a positive semidefinite square matrix of size  $k'$ . Since it is a projection it must be true that  $Q^2 = Q$ . For convenience we explicitly write the left hand side of this equation

$$Q^2 = \begin{pmatrix} \gamma^2 \mathbb{1}_k + AA^\dagger & \gamma A + AB \\ \gamma A^\dagger + BA^\dagger & A^\dagger A + B^2 \end{pmatrix}. \quad (29)$$

Comparison of Eqs. (28) and (29) gives us the set of conditions

$$\gamma^2 \mathbb{1}_k + AA^\dagger = \gamma \mathbb{1}_k, \quad (30)$$

$$A^\dagger A + B^2 = B, \quad (31)$$

$$\gamma A + AB = A. \quad (32)$$

From the first one we obtain

$$AA^\dagger = x^2 \mathbb{1}_k, \quad x^2 = \gamma - \gamma^2 > 0. \quad (33)$$

Denoting  $\tilde{A} = A/x$  we can rewrite this as  $\tilde{A}\tilde{A}^\dagger = \mathbb{1}_k$ . Thus  $\tilde{A}\tilde{A}^\dagger$  must be rank  $k$  as  $r(\mathbb{1}_k) = k$ . Since for an arbitrary  $\tilde{A}$  it holds that  $r(\tilde{A}\tilde{A}^\dagger) = r(\tilde{A})$  and  $r(\tilde{A}) \leq \min\{k, k'\}$  we infer that  $k' \geq k$  as otherwise we would get a contradiction. Moreover  $\tilde{A}$  is an isometry so we have

$$A = x\tilde{A} = x \sum_{i=1}^k |e_i\rangle\langle v_i| \quad (34)$$

with some orthonormal  $|v_i\rangle \in \mathbb{C}^{k'}$ . There are  $k'$  such states in total but here only  $k$  of them are important. We thus have

$$A^\dagger A = x^2 \sum_{i=1}^k |v_i\rangle\langle v_i|. \quad (35)$$

From Eq. (31) we conclude that  $[A^\dagger A, B] = 0$  since an operator commutes with its own function. The above equation implies that  $B$  has the following form

$$B = \left( \sum_{i=1}^k \lambda_i |v_i\rangle\langle v_i| \right) \oplus B' \quad (36)$$

where  $B'$  lives on the subspace spanned by some orthonormal set  $\{|v_i\rangle\}_{i=k+1}^{k'}$ . The set of  $k$  arbitrary non negative real numbers  $\lambda_i$  needs to be determined. In the basis  $\{|e_1\rangle, |e_2\rangle, \dots, |e_k\rangle, |v_1\rangle, \dots, |v_k\rangle, |v_{k+1}\rangle, \dots, |v_{k'}\rangle\}$  the matrix  $Q$  reads

$$Q = \left[ \begin{array}{cc|c} \gamma & & x & & 0 \\ & \ddots & & \ddots & \\ & & \gamma & & x \\ \hline x & & \lambda_1 & & \ddots \\ & \ddots & & \ddots & \lambda_k \\ & & x & & 0 \\ \hline 0 & & 0 & & B' \end{array} \right]. \quad (37)$$

Thus  $Q = Q' \oplus B'$ , where  $Q'$  has the following structure

$$Q' = \begin{pmatrix} \gamma & x \\ x & \lambda_1 \end{pmatrix} \oplus \begin{pmatrix} \gamma & x \\ x & \lambda_2 \end{pmatrix} \oplus \dots \begin{pmatrix} \gamma & x \\ x & \lambda_k \end{pmatrix}. \quad (38)$$

Since  $Q$  is a projection so are  $Q'$  and  $B'$ , which means that  $\begin{pmatrix} \gamma & x \\ x & \lambda_i \end{pmatrix}$  must be a pure state. It can only happen if  $\lambda_i \equiv 1 - \gamma$ . This concludes the proof as we take  $\mathcal{B}^\perp = \{|v_1\rangle, |v_2\rangle, \dots, |v'_k\rangle\}$  and recall that  $x = \sqrt{\gamma(1 - \gamma)}$ . ■

**Lemma 2** *If  $R_k Q_l R_k = \gamma R_k$ ,  $k \leq l \leq n - k$  holds for some  $0 < \gamma < 1$  then  $\|Q_l - \gamma R_k\|_{tr} = k\sqrt{(1 - \gamma)(1 + 3\gamma)} + l - k$ .*

**Proof:** Due to Lemma 1 we have

$$Q_l - \gamma R_k = \left[ \begin{array}{cc|c} 0 & \sqrt{\gamma(1 - \gamma)} & 0 \\ & \ddots & \\ & 0 & \sqrt{\gamma(1 - \gamma)} \\ \hline \sqrt{\gamma(1 - \gamma)} & 1 - \gamma & 0 \\ & \ddots & \\ & \sqrt{\gamma(1 - \gamma)} & 1 - \gamma \\ \hline 0 & 0 & S \end{array} \right]. \quad (39)$$

The matrix has a structure of a direct sum and we immediately obtain

$$\|Q_l - \gamma R_k\|_{tr} = k \left\| \begin{pmatrix} 0 & \sqrt{\gamma(1 - \gamma)} \\ \sqrt{\gamma(1 - \gamma)} & 1 - \gamma \end{pmatrix} \right\|_{tr} + l - k = k\sqrt{(1 - \gamma)(1 + 3\gamma)} + l - k,$$

where the last term is just the trace of  $S$ , which is rank  $l - k$ . ■

**Lemma 3** *Let the following hold for some  $\gamma > 0$  and the rank  $l$  projection  $Q_l$*

$$R_M \otimes R'_N Q_l R_M \otimes R'_N = \gamma R_M \otimes R'_N. \quad (40)$$

*Then:*

$$(i) \quad r(\text{tr}_B Q_l) \geq M, \quad r(\text{tr}_A Q_l) \geq N, \quad (41)$$

(ii)

$$\begin{aligned} \|\text{tr}_B Q_l - \gamma N R_M\|_{tr} &\leq MN\sqrt{(1 - \gamma)(1 + 3\gamma)} + l - MN, \\ \|\text{tr}_A Q_l - \gamma M R'_N\|_{tr} &\leq MN\sqrt{(1 - \gamma)(1 + 3\gamma)} + l - MN. \end{aligned} \quad (42)$$

**Proof:** We prove the result for one partial tracing as the second is analogous. For the proof of the first part we write for some arbitrary  $|\varphi\rangle$  from  $\mathcal{R}_M$  (a subspace with projection  $R_M$ )

$$\langle \varphi | \text{tr}_B Q_l | \varphi \rangle = \sum_{|\psi_i\rangle \in \mathcal{R}'_N} \langle \varphi | \langle \psi_i | Q_l | \varphi \rangle | \psi_i \rangle + \sum_{|\psi_i\rangle \in \mathcal{R}'_N^\perp} \langle \varphi | \langle \psi_i | Q_l | \varphi \rangle | \psi_i \rangle. \quad (43)$$

Recall now that Eq. (40) is equivalent to

$$\langle \varphi_i | \langle \psi_j | Q_l | \varphi_s \rangle | \psi_m \rangle = \delta_{is} \delta_{jm} \lambda \quad (44)$$

with orthonormal basis  $|\varphi_i\rangle$  and  $|\psi_i\rangle$  for  $\mathcal{R}_M$  and  $\mathcal{R}'_N$  respectively. In virtue of this fact first sum is exactly  $N\lambda$ . Second sum is non negative since  $Q_l$  is positive semi-definite. It thus certainly holds that

$$\langle \varphi | \text{tr}_B Q_l | \varphi \rangle \geq N\lambda > 0, \quad \forall |\varphi\rangle \in \mathcal{R}_M. \quad (45)$$

Since matrix multiplication cannot increase rank, one has  $r(\text{tr}_B Q_l) \geq r(R_M \text{tr}_B Q_l R_M)$ . Thus it remains to show that Eq. (45) implies  $r(R_M \text{tr}_B Q_l R_M) = r(R_M)$ . Take  $R_M = \sum_{i=1}^M |\varphi_i\rangle\langle\varphi_i|$ , then naturally  $R_M \text{tr}_B Q_l R_M = \sum_{i=1}^M \gamma_i |\varphi_i\rangle\langle\varphi_i|$ ,  $\gamma_i \geq 0$ . It is now sufficient to put this into Eq. (45) to conclude that  $\gamma_i > 0$  for all  $i$ , which ends this part of the proof.

The second assertion follows directly from Lemma 2 since for any  $G, H$  it holds that  $\|\text{tr}_B G - \text{tr}_B H\|_{tr} \leq \|G - H\|_{tr}$  [34]. ■

**Proof of Theorem 17:** The result follows directly from Lemma 3 and the fact that for Hermitian matrices  $A, B$  with eigenvalues  $a_1 \geq a_2 \geq \dots \geq a_n$ ,  $b_1 \geq b_2 \geq \dots \geq b_n$  respectively it holds that  $\|A - B\|_{tr} \geq \sum_{i=1}^n |a_i - b_i|$  [35]. ■

Theorem 17 can be also applied to  $P_{d^2-l} = \mathbb{1}_{d^2} - Q_l$  giving the dual estimations

**Theorem 18** *Let  $P_{d^2-l}$  be a rank  $d^2 - l$  projection. Assume  $R_M \otimes R'_N P_{d^2-l} R_M \otimes R'_N = (1-\gamma)R_M \otimes R'_N$  holds. Let further  $\tilde{x}_1 \geq \tilde{x}_2 \geq \dots$  be eigenvalues of  $\text{tr}_B P_{d^2-l}$ , and  $\tilde{y}_1 \geq \tilde{y}_2 \geq \dots$  of  $\text{tr}_A P_{d^2-l}$ . Then*

$$\begin{aligned} \sum_{i=1}^M |\tilde{x}_i - N(1-\gamma)| + \sum_{i=M+1}^{r(\text{tr}_B P_{d^2-l})} \tilde{x}_i &\leq MN\sqrt{\gamma(4-3\gamma)} + d^2 - l - MN, \\ \sum_{i=1}^N |\tilde{y}_i - M(1-\gamma)| + \sum_{i=N+1}^{r(\text{tr}_A P_{d^2-l})} \tilde{y}_i &\leq MN\sqrt{\gamma(4-3\gamma)} + d^2 - l - MN. \end{aligned} \quad (46)$$

By a direct inspection of the concrete case, we will show the following in the next section

**Theorem 19** *Existence of a product code for the noise model  $U = P - Q$  for  $\lambda = 1 - 2\gamma$  does not necessarily imply the existence of a product code for  $\lambda = 2\gamma - 1$ . In other words, there are cases when  $\gamma \in \Lambda_{2\otimes 2}$  but  $1 - \gamma \notin \Lambda_{2\otimes 2}$ .*

This result provides sharp distinction between the standard and the product numerical range.

Notice that Lemma 1 implies that eigenvectors of  $Q$  must have a special form.

**Corollary 1** *If  $RQR = \gamma R$  holds then there exists the basis in which  $k$  eigenvectors  $|\psi_i\rangle$  of the projector  $Q = \sum_{i=1}^q |\psi_i\rangle\langle\psi_i|$  can be expressed as*

$$|\psi_i\rangle = \sqrt{\gamma}|e_i\rangle + \sqrt{1-\gamma}|v_i\rangle, \quad (47)$$

where  $\langle e_i | e_j \rangle = \delta_{ij}$ ,  $\langle v_i | v_j \rangle = \delta_{ij}$ , and  $\langle e_i | v_j \rangle = 0$ . The code is then  $R = \sum_i |e_i\rangle\langle e_i|$  and its existence is equivalent to the existence of the code  $\hat{R} = \sum_i |v_i\rangle\langle v_i|$  satisfying  $\hat{R}Q\hat{R} = (1-\gamma)\hat{R}$ . This implies that for any projection  $Q$  both  $\gamma$  and  $1-\gamma$  belong to  $\Lambda_k(Q)$ .

Thus if one wants to find a code for  $\gamma \neq 0, 1$  one needs to find a basis for which Eq. (47) holds.

In Ref. [21] it was shown that in the case of qutrit inputs ( $d = 3$ ) a zero entropy code is unique in a sense that there are no codes for the noise model Eq. (22) simultaneously for  $\lambda = +1$  and  $\lambda = -1$ . Higher dimensional codes were not considered there. With the above results in hands we can prove that this uniqueness is stronger. Namely, we have

**Observation 20** *If for  $d = 3$  there exists a product  $2 \otimes 2$  decoherence free subspace then there exists no higher entropy code for this system.*

**Proof:** We will prove the result for a four dimensional projection operator  $Q_4$  since the result is proved in a similar manner for a five dimensional projection. We only show the part which has not proved in Ref. [21]. Suppose equality  $S \otimes S' Q_4 S \otimes S' = S \otimes S'$  holds. This means that  $Q_4 = S \otimes S'$ . The following equality  $R \otimes R' Q_4 R \otimes R' = \gamma R \otimes R'$  with  $0 < \gamma < 1$  cannot then hold since this requires  $RSR = \tilde{\gamma}$  and  $R'S'R' = \tilde{\gamma}'$  with  $\tilde{\gamma}\tilde{\gamma}' = \gamma$ . It is impossible since this implies that either  $\gamma$  or  $\gamma'$  (or both) is not equal to one, which stays in contradiction to Lemma 1 (applying the theorem to  $S$  or  $S'$  we see that they must be at least four dimensional which contradicts assumption  $d = 3$ ).

Suppose now that  $R \otimes R' Q_4 R \otimes R' = 0$  holds. This implies that  $P_5 := \mathbb{1}_9 - Q_4 = R \otimes R' + |\xi\rangle\langle\xi|$  with  $\xi \perp R \otimes R'$ . If there existed higher entropy code, it would hold that  $T \otimes T'(R \otimes R' + |\xi\rangle\langle\xi|)T \otimes T' = \gamma T \otimes T'$  for some two dimensional projections  $T, T'$ . Hence  $T \otimes T'|\xi\rangle\langle\xi|T \otimes T'$  must be a non zero vector if there is to be non zero  $\gamma$ . Otherwise the operators  $TRT$  and  $T'R'T'$  would have to be proportional to  $T$  and  $T'$  respectively which is impossible. This is a two qubit problem because of two dimensional projections so we rewrite it for clarity as  $E \otimes F + |\Psi\rangle\langle\Psi| = \gamma \mathbb{1} \otimes \mathbb{1}$ . Thus  $G := \gamma \mathbb{1} \otimes \mathbb{1} - E \otimes F$  must be one dimensional. Let  $(e_1, e_2)$  and  $(f_1, f_2)$  be the eigenvalues of  $E$  and  $F$  respectively. The eigenvalues of  $G$  then read  $(\gamma - e_1 f_1, \gamma - e_1 f_2, \gamma - e_2 f_1, \gamma - e_2 f_2)$ . Exactly three of these eigenvalues have to be equal to zero, which is impossible. ■

Finally, notice that the reasoning can be applied to an arbitrary noise model of the form  $U = P + e^{i\beta}Q$ .

## 5 Applications

In what follows, we will be mainly interested in finding bipartite product higher rank numerical ranges of operators acting on  $d \otimes d$ , one example will be devoted to the case of different local dimensions.

### 5.1 Random swap

Among all unitary matrices of the form (22), *SWAP* is the quantum gate probably most often used in the theory of quantum information. It can be written as  $SWAP_{d \leftrightarrow d} = P_{sym.} - P_{asym.} := V_d$ , where  $P_{sym.}$ ,  $P_{asym.}$  are the projections onto symmetric and antisymmetric

subspaces respectively. Its action on pure states is given by  $V_d|\phi\rangle|\psi\rangle = |\psi\rangle|\phi\rangle$ .

This kind of noise can be approached directly without resorting to the results presented above. We need to solve

$$R \otimes R' V_d R \otimes R' = \lambda R \otimes R' \quad (48)$$

with  $R = \sum_{i=1}^M |\varphi_i\rangle\langle\varphi_i|$  and  $R' = \sum_{j=1}^N |\psi_j\rangle\langle\psi_j|$ . It is equivalent to

$$\langle\varphi_i|\langle\psi_j|V_d|\varphi_k\rangle|\psi_l\rangle = \lambda\delta_{ik}\delta_{jl}, \quad (49)$$

which in this case results in

$$\langle\varphi_i|\psi_l\rangle\langle\varphi_k|\psi_j\rangle^* = \lambda\delta_{ik}\delta_{jl}. \quad (50)$$

As one can realize from the above  $\lambda = 0$  is the only possible value. The corresponding eigenvectors of  $R$  and  $R'$  must obey  $\varphi_i \perp \psi_j$ , thus

$$\Lambda_{2\otimes 2}(V_3) = \emptyset \quad (51)$$

and

$$\Lambda_{M\otimes N}(V_d) = \{0\}, \quad M + N \leq d, \quad d \geq 4. \quad (52)$$

In the latter case with  $M = N = 2$  we could take for example  $R = |0\rangle\langle 0| + |1\rangle\langle 1|$  and  $R' = |2\rangle\langle 2| + |3\rangle\langle 3|$ .

The above also means that we have:

$$\Lambda_{M\otimes N}^{\text{symm.}}(V_d) = \emptyset. \quad (53)$$

Notice that the local numerical range (see Section 3) provides a quick rough upper bound  $\langle 0, 1 \rangle$  on the  $M \otimes N$  range. This is an example of application of the Property 7.

Another bound narrowing previously mentioned one can be readily obtained from Fact 12, which in this case does not involve optimization. Taking the trace of both sides of Eq. (48) and exploiting the fact  $\text{tr} V_d A \otimes B = \text{tr} AB$  we obtain  $\text{tr} RR' = MN\lambda$ . Utilizing now the property (following from the Hölder's inequality [36])  $\text{tr} AB \leq \text{tr} A$  holding for  $A \geq 0$  and  $\mathbb{I} \geq B \geq 0$  and the fact that  $\text{tr} AB \geq 0$  for positive semi-definite  $A$  and  $B$ , we obtain  $0 \leq MN\lambda \leq \min\{M, N\}$ , which finally leads to

$$0 \leq \lambda \leq \min\left\{\frac{1}{M}, \frac{1}{N}\right\}, \quad (54)$$

giving a significant improvement over the previous bound.

Presented reasoning shows that in some cases direct exploitation of properties of  $U$  may prove very useful.

## 5.2 Other kinds of noise

### 5.2.1 The $3 \otimes 3$ systems

We start with  $d = 3$  examples.

*Example 1.*

We will establish an outer bound for  $\Lambda_{2\otimes 2}$  of a projector  $Q(\alpha)$  having the following eigenvectors

$$|\psi_1\rangle = (\sqrt{\alpha}|0\rangle + \sqrt{1-\alpha}|2\rangle) \otimes |0\rangle, \quad (55)$$

$$|\psi_2\rangle = |0\rangle \otimes (\sqrt{\alpha}|1\rangle + \sqrt{1-\alpha}|2\rangle), \quad (56)$$

$$|\psi_3\rangle = |1\rangle \otimes (\sqrt{\alpha}|0\rangle + \sqrt{1-\alpha}|2\rangle), \quad (57)$$

$$|\psi_4\rangle = (\sqrt{\alpha}|1\rangle + \sqrt{1-\alpha}|2\rangle) \otimes |1\rangle \quad (58)$$

with  $0 < \alpha < 1$ . From Corollary 1 we see that  $\alpha \in \Lambda_{2 \otimes 2}(Q(\alpha))$  as all above vectors are of the required form  $\sqrt{\alpha}|e_i\rangle + \sqrt{1-\alpha}|v_i\rangle$ . Notice that it also follows that  $\alpha \in \Lambda_{2 \otimes 2}^{\text{symm.}}(Q(\alpha))$ . Simple calculation yields

$$X \equiv \text{tr}_A Q(\alpha) = \text{tr}_B Q(\alpha) = \begin{pmatrix} 1+\alpha & 0 & \sqrt{\alpha(1-\alpha)} \\ 0 & 1+\alpha & \sqrt{\alpha(1-\alpha)} \\ \sqrt{\alpha(1-\alpha)} & \sqrt{\alpha(1-\alpha)} & 2-2\alpha \end{pmatrix} \quad (59)$$

and

$$Y \equiv \text{tr}_A (\mathbb{1}_9 - Q(\alpha)) = \text{tr}_B (\mathbb{1}_9 - Q(\alpha)) = 3\mathbb{1}_3 - X. \quad (60)$$

The eigenvalues are:  $x_1 = 2$ ,  $x_2 = 1 + \alpha$ ,  $x_3 = 1 - \alpha$  and  $\tilde{x}_1 = 2 + \alpha$ ,  $\tilde{x}_2 = 2 - \alpha$ , and  $\tilde{x}_3 = 1$ . Setting  $M = N = 2$  we get from Theorems 17 and 18 two inequalities on  $\gamma$ :

$$|2 - 2\gamma| + |1 + \alpha - 2\gamma| + 1 - \alpha \leq 4\sqrt{(1-\gamma)(1+3\gamma)}, \quad (61)$$

$$|2 + \alpha - 2(1-\gamma)| + |2 - \alpha - 2(1-\gamma)| + 1 \leq 4\sqrt{\gamma(4-3\gamma)} + 1, \quad (62)$$

from which we get

$$\gamma \in \Lambda_{2 \otimes 2}(Q(\alpha)) \subseteq \left\langle \frac{2}{3} \left( 1 - \sqrt{1 - \frac{3}{16}\alpha^2} \right), \frac{1}{3} \left( 1 + \sqrt{4 - 3 \left( \frac{1-\alpha}{2} \right)^2} \right) \right\rangle, \quad (63)$$

For each value of  $\alpha$  we thus get an interval outerbounding  $\Lambda_{2 \otimes 2}$ . One realizes that this example already provides the evidence in favor of Theorem 19.

Using Observation 12 this bound can be improved extending the region where  $\alpha$  belongs to the range but  $1 - \alpha$  does not. As we have noted in the previous section, this usually requires optimization (in opposite to the application of Theorems 17 i 18 as above). We need to calculate

$$\lambda_{\uparrow}(\alpha) := \frac{1}{4} \min_{U \otimes V} \text{tr}[(U \otimes V)(P_0 \otimes P_0)(U^{\dagger} \otimes V^{\dagger})Q(\alpha)] \quad (64)$$

and

$$\lambda_{\downarrow}(\alpha) := \frac{1}{4} \max_{U \otimes V} \text{tr}[(U \otimes V)(P_0 \otimes P_0)(U^{\dagger} \otimes V^{\dagger})Q(\alpha)], \quad (65)$$

where  $P_0 = |0\rangle\langle 0| + |1\rangle\langle 1|$ , which give rise, according to Observation 12, to the bound

$$\Lambda_{2 \otimes 2}(Q(\alpha)) \subseteq [\lambda_{\uparrow}(\alpha); \lambda_{\downarrow}(\alpha)]. \quad (66)$$

With this aim we parameterized  $U$  and  $V$  according to Ref. [37] and then, for different values of  $\alpha \in (0, 1)$  with the step  $\delta\alpha = 0.01$ , computed  $\lambda_{\uparrow}$  and  $\lambda_{\downarrow}$ . In this case the same bound is valid for  $\Lambda_{2 \otimes 2}^{\text{symm.}}$ . The results of this part of the paper are shown in Fig. 1 (see also Section 7).

#### Example 2.

Consider now the following operator [38]

$$Q_l = \sum_{i < j} |\psi_{ij}\rangle\langle\psi_{ij}| + P_d^+, \quad (67)$$

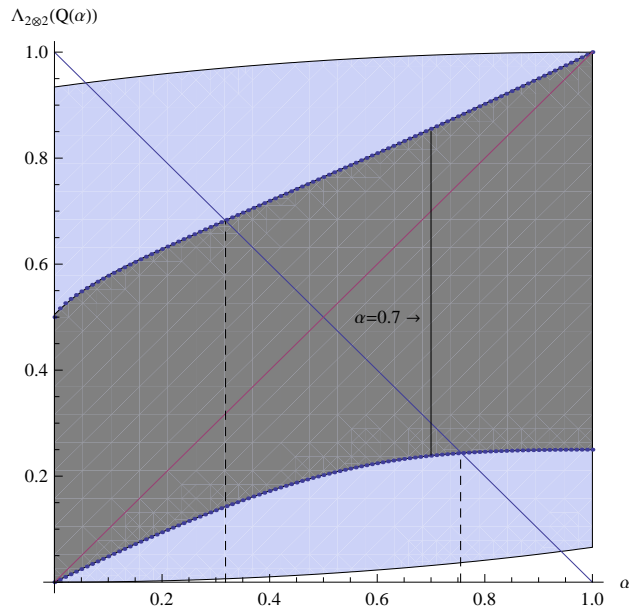


Fig. 1. The light shaded region represents the bound given by Eq. (63) in the whole range of  $\alpha$ , the dark grey region is the bound given by Eq. (66) (the dots are for  $\lambda_{\uparrow, \downarrow}(\alpha)$ ). We have also put the section bounding the product range for an exemplary value  $\alpha = 0.7$ . Additionally, we plotted the lines  $\alpha$  and  $1 - \alpha$ . While the former value always belongs to the product range (as we have discussed in the main text) there is a range of  $\alpha$ , where the latter lies outside it with certainty as it is cut off by the bound, this cut off is represented by the dashed lines.



where

$$|\psi_{ij}\rangle = \frac{1}{\sqrt{d}} \left( \sqrt{a}|ij\rangle + \sqrt{a^{-1}}|ji\rangle \right), \quad a + a^{-1} = d. \quad (68)$$

We set  $d = 3$ . Then, explicitly, we have

$$Q_4 = \frac{1}{3} \begin{pmatrix} 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \\ 0 & a & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & a & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & \frac{1}{a} & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & a & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & \frac{1}{a} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & \frac{1}{a} & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \end{pmatrix}, \quad (69)$$

with  $a = \frac{1}{2}(3 - \sqrt{5})$ . The reduced states are

$$X_{A,B} \equiv \text{tr}_{B,A} Q_4 = \begin{pmatrix} \frac{1}{3}(4 \pm \sqrt{5}) & 0 & 0 \\ 0 & \frac{4}{3} & 0 \\ 0 & 0 & \frac{1}{3}(4 \mp \sqrt{5}) \end{pmatrix} \quad (70)$$

and

$$Y_{A,B} \equiv \text{tr}_{B,A} P_5 = \text{tr}_{B,A} (\mathbb{1}_9 - Q_4) = 3\mathbb{1}_3 - X_{A,B} = \begin{pmatrix} \frac{1}{3}(5 \mp \sqrt{5}) & 0 & 0 \\ 0 & \frac{5}{3} & 0 \\ 0 & 0 & \frac{1}{3}(5 \pm \sqrt{5}) \end{pmatrix}, \quad (71)$$

from which the inequalities on  $\gamma$  (Theorem 17 and its dual Theorem 18) follow

$$\left| \frac{1}{3}(4 + \sqrt{5}) - 2\gamma \right| + \left| \frac{4}{3} - 2\gamma \right| + \frac{1}{3}(4 - \sqrt{5}) \leq 4\sqrt{(1-\gamma)(1+3\gamma)}, \quad (72)$$

$$\left| \frac{1}{3}(5 + \sqrt{5}) - 2(1-\gamma) \right| + \left| \frac{5}{3} - 2(1-\gamma) \right| + \frac{1}{3}(5 - \sqrt{5}) \leq 4\sqrt{\gamma(4-3\gamma)} + 1. \quad (73)$$

This eventually leads to the following outer bound

$$\Lambda_{2 \otimes 2} \subseteq \left[ \frac{4 - \sqrt{13}}{6}; \frac{3 + \sqrt{33}}{9} \right] \approx [0.0657; 0.972]. \quad (74)$$

At the same time, application of Fact 12 gives us better estimates:

$$\Lambda_{2 \otimes 2} \subseteq [0.1788; 0.7378]. \quad (75)$$

We have also used Observation 12 to estimate bounds on symmetric product range and we have obtained that

$$\Lambda_{2 \otimes 2}^{\text{symm.}} \subseteq [0.4166; 0.5556]. \quad (76)$$

We were not able to verify whether any of the values inside these intervals actually belong to the (symmetric) product higher rank numerical range of  $Q$ .

### 5.2.2 The $4 \otimes 4$ systems

Now we give an example of the projection  $Q$  for which the numerical range  $\Lambda_{2 \otimes 2}$  can be found. New analytical technique will be introduced with this aim. Let the eigenvectors of  $Q(\alpha)$  be

$$|\psi_1\rangle = \sqrt{\alpha}|00\rangle + \sqrt{1-\alpha}|22\rangle, \quad (77)$$

$$|\psi_2\rangle = \sqrt{\alpha}|01\rangle + \sqrt{1-\alpha}|23\rangle, \quad (78)$$

$$|\psi_3\rangle = \sqrt{\alpha}|10\rangle + \sqrt{1-\alpha}|32\rangle, \quad (79)$$

$$|\psi_4\rangle = \sqrt{\alpha}|11\rangle + \sqrt{1-\alpha}|33\rangle. \quad (80)$$

Theorem 1 leads to the conclusion that both  $\alpha$  and  $1-\alpha$  belong to  $\Lambda_{2 \otimes 2}(Q(\alpha))$ . Assume  $1-\alpha \geq \alpha$ . Consider now projectors  $R$  and  $R'$  spanned respectively by

$$|\phi_1\rangle = \sqrt{1-\beta}|0\rangle + \sqrt{\beta}|2\rangle, \quad (81)$$

$$|\phi_2\rangle = \sqrt{1-\beta}|1\rangle + \sqrt{\beta}|3\rangle \quad (82)$$

and

$$|\psi_1\rangle = |2\rangle, \quad (83)$$

$$|\psi_2\rangle = |3\rangle \quad (84)$$

with  $\beta \in \langle 0, 1 \rangle$ . One can easily check that with such choice of projectors we can get  $\gamma = \beta(1-\alpha)$  and thus varying  $\beta$  over the whole range in turn that  $\gamma \in \langle 0, 1-\alpha \rangle$ . We will now show that this is best what one can do and this interval represents  $\Lambda_{2 \otimes 2}(Q(\alpha))$ .

With this aim recall once again that if  $\gamma \in \Lambda_{2 \otimes 2}$  then there exist projectors  $R = |\phi_1\rangle\langle\phi_1| + |\phi_2\rangle\langle\phi_2|$  and  $R' = |\psi_1\rangle\langle\psi_1| + |\psi_2\rangle\langle\psi_2|$  such that  $\langle\phi_i|\langle\psi_j|Q_4|\phi_k\rangle|\psi_l\rangle = \gamma\delta_{ik}\delta_{jl}$ . Naturally  $\langle\phi_i|\phi_j\rangle = \delta_{ij}$  and  $\langle\psi_i|\psi_j\rangle = \delta_{ij}$ . Let the vectors be decomposed as follows

$$|\phi_1\rangle = |e, e'\rangle, \quad e, e' \in \mathbb{C}^2, \quad (85)$$

$$|\phi_2\rangle = |f, f'\rangle, \quad f, f' \in \mathbb{C}^2, \quad (86)$$

$$|\psi_1\rangle = |E, E'\rangle, \quad E, E' \in \mathbb{C}^2, \quad (87)$$

$$|\psi_2\rangle = |F, F'\rangle, \quad F, F' \in \mathbb{C}^2, \quad (88)$$

where  $|i, j\rangle$  is understood as the direct sum  $|i\rangle \oplus |j\rangle$ , i.e., we use the isomorphism  $\mathbb{C}^4 \cong \mathbb{C}^2 \oplus \mathbb{C}^2$ . Let now  $p, p', q, q', P, P', Q, Q'$  be variables in  $\mathbb{C}^2$ , which can take values as follows  $p, q = e, f$ ;  $p', q' = e', f'$ ;  $P, Q = E, F$ ;  $P', Q' = E', F'$  in combinations consistent with Eq. (85). After a direct calculation we arrive at

$$\langle p, p' | \langle P, P' | Q_4 | q, q' \rangle | Q, Q' \rangle = (\sqrt{\alpha}\langle p | \langle P | + \sqrt{1-\alpha}\langle p' | \langle P' | \rangle (\sqrt{\alpha}|q\rangle|Q\rangle + \sqrt{1-\alpha}|q'\rangle|Q'\rangle), \quad (89)$$

which must be zero whenever  $(p, p') \neq (q, q')$  or  $(P, P') \neq (Q, Q')$  and  $\gamma$  otherwise. Recalling what values can be taken by the variables and orthogonality conditions we thus conclude

**Lemma 4** *The number  $\gamma$  belongs to  $\Lambda_{2\otimes 2}(Q(\alpha))$  if and only if there exists a set of four vectors from  $\mathbb{C}^2 \otimes \mathbb{C}^2$*

$$|\chi_1\rangle = \sqrt{\alpha}|e\rangle|E\rangle + \sqrt{1-\alpha}|e'\rangle|E'\rangle, \quad (90)$$

$$|\chi_2\rangle = \sqrt{\alpha}|e\rangle|F\rangle + \sqrt{1-\alpha}|e'\rangle|F'\rangle, \quad (91)$$

$$|\chi_3\rangle = \sqrt{\alpha}|f\rangle|E\rangle + \sqrt{1-\alpha}|f'\rangle|E'\rangle, \quad (92)$$

$$|\chi_4\rangle = \sqrt{\alpha}|f\rangle|F\rangle + \sqrt{1-\alpha}|f'\rangle|F'\rangle \quad (93)$$

$$(94)$$

such that

$$|||e\rangle||^2 + |||e'\rangle||^2 = 1, \quad (95)$$

$$|||f\rangle||^2 + |||f'\rangle||^2 = 1, \quad (96)$$

$$|||E\rangle||^2 + |||E'\rangle||^2 = 1, \quad (97)$$

$$|||F\rangle||^2 + |||F'\rangle||^2 = 1, \quad (98)$$

$$\langle e|f\rangle + \langle e'|f'\rangle = 0, \quad (99)$$

$$\langle E|F\rangle + \langle E'|F'\rangle = 0 \quad (100)$$

and

$$\langle \chi_i | \chi_j \rangle = \gamma \delta_{ij}. \quad (101)$$

This observation is crucial since now we can bound  $\gamma$ . Writing  $|||i\rangle||$  as  $||i||$  for clarity, we have for example for  $|\chi_1\rangle$

$$\begin{aligned} \sqrt{\gamma} &= \sqrt{\langle \chi_1 | \chi_1 \rangle} = ||\chi_1|| = ||\sqrt{\alpha}|e\rangle|E\rangle + \sqrt{1-\alpha}|e'\rangle|E'\rangle|| \leq \\ &\sqrt{\alpha} ||e|| \cdot ||E|| + \sqrt{1-\alpha} ||e'\rangle|| \cdot ||E'\rangle|| \leq \sqrt{1-\alpha} (||e|| \cdot ||E|| + ||e'\rangle|| \cdot ||E'\rangle||) = \\ &\sqrt{1-\alpha} [||e||, ||e'\rangle||] \cdot [||E||, ||E'\rangle||] \leq \sqrt{1-\alpha} \left\| [||e||, ||e'\rangle||] \right\| \cdot \left\| [||E||, ||E'\rangle||] \right\| = \\ &\sqrt{1-\alpha} \sqrt{||e||^2 + ||e'\rangle||^2} \sqrt{||E||^2 + ||E'\rangle||^2} = \sqrt{1-\alpha}, \end{aligned} \quad (102)$$

which finally leads to

$$\Lambda_{2\otimes 2}(Q(\alpha)) = \langle 0, 1-\alpha \rangle. \quad (103)$$

Let us just explain all the important steps in the estimation for  $\gamma$ : (i) the first inequality follows from the triangle inequality, (ii) the second inequality uses  $\alpha \leq 1-\alpha$ , (iii) the third is the Cauchy-Schwarz inequality, (iv) the last equality makes use of normalization.

Proceeding in a similar manner in the case of  $\alpha \geq 1-\alpha$  we find that in this region the product numerical range is  $\Lambda_{2\otimes 2}(Q(\alpha)) = \langle 0, \alpha \rangle$ .

Interestingly, inclusion of sets from Observation 12 becomes an equality in this case so the same region can be found by numerical optimization of the bounds on the local  $R \otimes R'$ -numerical range of  $Q(\alpha)$  (see previous examples).

It turns out that in this case

$$\Lambda_{2\otimes 2}^{\text{symm.}}(Q(\alpha)) = \Lambda_{2\otimes 2}(Q(\alpha)), \quad (104)$$

what we prove below. The set equal to  $\langle \alpha, 1 - \alpha \rangle$  if  $0 \leq \alpha \leq \frac{1}{2}$  and  $\langle 1 - \alpha, \alpha \rangle$  otherwise can be shown to belong to  $\Lambda_{2 \otimes 2}^{\text{symm}}(Q(\alpha))$  simply by taking  $\psi_i = \phi_i$  in Eq. (83) and leaving  $\phi_j$  unchanged. The remaining region can be shown to be achievable by setting

$$\begin{aligned} |\phi_1\rangle &= |\psi_1\rangle = \sqrt{1 - \beta}|0\rangle + i\sqrt{\beta}|2\rangle, \\ |\phi_2\rangle &= |\psi_2\rangle = \sqrt{1 - \beta}|1\rangle + i\sqrt{\beta}|3\rangle. \end{aligned}$$

The results of this section are summarized in Fig. 2, in which we have also plotted the bound stemming from Theorems 17 and 18.

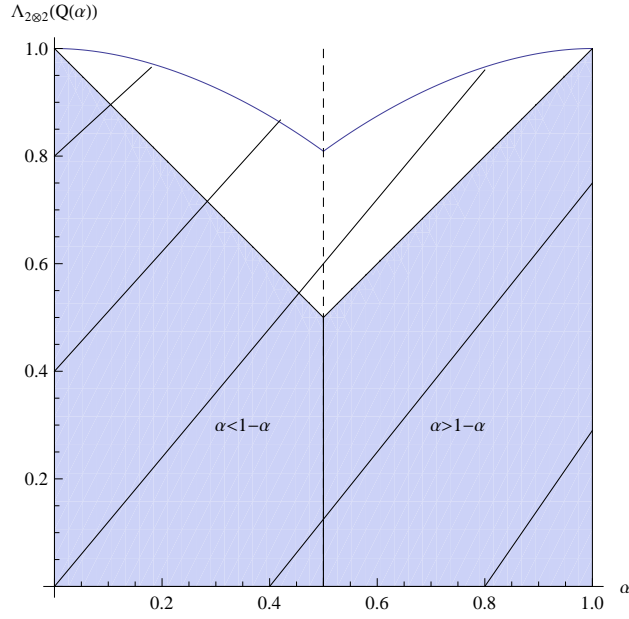


Fig. 2. The shaded region is the  $2 \otimes 2$  range of  $Q(\alpha)$  given by Eqs (77-80). The hatched region is the bound from Theorems 17 and 18.

### 5.2.3 The $2 \otimes 4$ systems

Consider the following projector acting on  $\mathbb{C}^2 \otimes \mathbb{C}^4$

$$Q(\gamma) = |0\rangle\langle 0| \otimes Q_1 + |1\rangle\langle 1| \otimes Q_2(\gamma) \quad (105)$$

with

$$Q_1 = |0\rangle\langle 0| + |1\rangle\langle 1|, \quad (106)$$

$$Q_2(\gamma) = |\eta_1\rangle\langle \eta_1| + |\eta_2\rangle\langle \eta_2|, \quad (107)$$

$$|\eta_1\rangle = \sqrt{\gamma}|0\rangle + \sqrt{1 - \gamma}|2\rangle, \quad (108)$$

$$|\eta_2\rangle = \sqrt{\gamma}|1\rangle + \sqrt{1 - \gamma}|3\rangle. \quad (109)$$

From Property 14 it follows that we must find  $\Lambda_2^{\text{comm.}}(Q_1, Q_2(\gamma))$ . Taking  $\alpha = 1/2$  in Property 15, we obtain  $\Lambda_2^{\text{comm.}}(Q_1, Q_2(\gamma)) \subseteq \Lambda_2(Z(\gamma))$  with

$$Z(\gamma) = \frac{1}{2} \begin{pmatrix} 1+\gamma & 0 & \sqrt{\gamma(1-\gamma)} & 0 \\ 0 & 1+\gamma & 0 & \sqrt{\gamma(1-\gamma)} \\ \sqrt{\gamma(1-\gamma)} & 0 & 1-\gamma & 0 \\ 0 & \sqrt{\gamma(1-\gamma)} & 0 & 1-\gamma \end{pmatrix}. \quad (110)$$

By inspection, we find the eigenvalues of  $Z(\gamma)$  to be  $\frac{1}{2}(1-\sqrt{\gamma})$ ,  $\frac{1}{2}(1-\sqrt{\gamma})$ ,  $\frac{1}{2}(1+\sqrt{\gamma})$ ,  $\frac{1}{2}(1+\sqrt{\gamma})$ . From the theory of higher rank numerical range (see Section 2.3) it immediately follows that

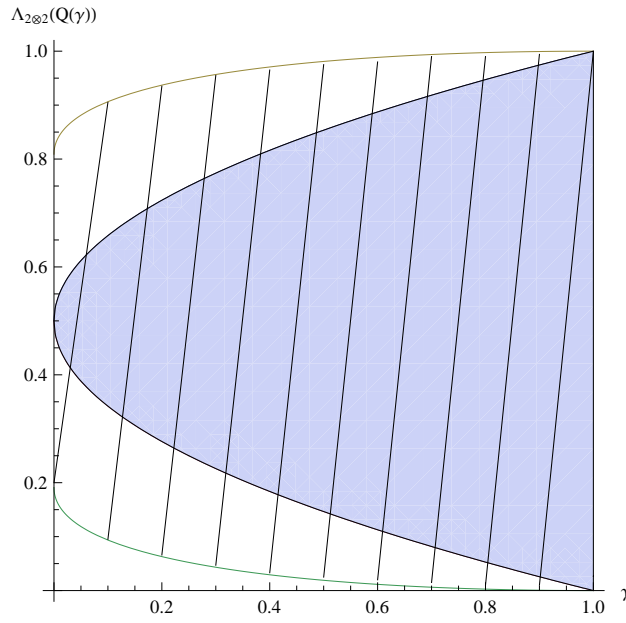


Fig. 3. The shaded region is the product higher rank numerical range of  $Q(\gamma)$  given by Eq. (105). The hatched region in which it is included is the bound stemming from the application of Theorems 17 and 18.

$$\Lambda_2(Z(\gamma)) = \left[ \frac{1}{2}(1 - \sqrt{\gamma}), \frac{1}{2}(1 + \sqrt{\gamma}) \right]. \quad (111)$$

It remains to show that the above represents the sought common numerical range (and in consequence the product numerical range), that is all  $\lambda \in \Lambda_2(Z(\gamma))$  are achievable in the sense of fulfilling the following equations: (i)  $RQ_1R = \lambda R$  and (ii)  $RQ_2(\gamma)R = \lambda R$  for some  $R$ . Indeed, take  $R$  to be projecting on the subspace spanned by the following two vectors:

$$\begin{aligned} |\xi_1\rangle &= \sqrt{\lambda}|0\rangle + e^{i\beta}\sqrt{1-\lambda}|2\rangle, \\ |\xi_2\rangle &= \sqrt{\lambda}|1\rangle + e^{i\beta}\sqrt{1-\lambda}|3\rangle. \end{aligned} \quad (112)$$

Then, by varying  $\beta$  in the range  $[0, \pi]$ , we can get any  $\lambda$  from the desired interval.

We have plotted the range in Fig. 3 along with the bound stemming from Theorems 17 and 18. In Fig. 4 we show application of Property 15 for values  $\alpha = 1/2, 1/3, 1/4, 1/5, 1/10$ .

We have also verified by optimization that Observation 12 gives exactly (111) as the outer bound.

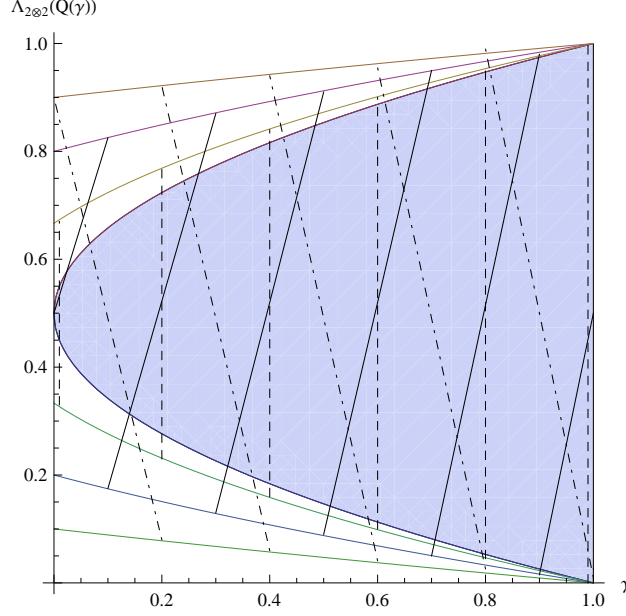


Fig. 4. The inner shaded region corresponds to  $\alpha = 1/2$  in Property 15 and represents the true  $2 \otimes 2$  rank of  $Q(\gamma)$  given by Eq. (105). The region with dashed lines corresponds to  $\alpha = 1/3$ , solid lines —  $\alpha = 1/5$ , dot dashed lines —  $\alpha = 1/10$ . In the limit  $\alpha \rightarrow 0$  we will obviously get  $[0, 1]$ .

## 6 Reverse problem — a toy model

We conclude with an example of a different type of noise. Unitary  $U$  which we will consider have a regularly distributed spectrum and can hardly be called generic, nevertheless, as it can be treated analytically, we believe that the examples may be an important toy model for future work on product numerical range. We concentrate on highest entropy codes, which correspond to  $\lambda = 0$ , but the observation can be generalized to other compression values. Our examples may be considered as an illustration to the problem inverse to the considered so far. That is

**Problem.** *Given a product code  $R \otimes R'$  construct a class of unitaries  $U$  for which*

$$R \otimes R' U R \otimes R' = 0. \quad (113)$$

We will focus on  $2 \otimes 2$  codes and consider  $d = 4$  case. Using the terminology introduced in Section 3 this problem can be rephrased as follows: *given an element of a product codes set of some unitary operator  $U$  corresponding to the compression value  $\lambda = 0$ , find an exemplary form of  $U$ .* Not surprisingly homogenous equation on  $U$  is very different from homogenous equations on projectors considered in [21] so we need a totally different approach.

In our case we have  $P = R \otimes R'$ , *i.e.*, the sum  $\sum_{i \in J} |\psi_i\rangle\langle\psi_i|$  is a product projector ( $J$  is a set of indices). It is worth stressing that  $|\psi_i\rangle$  do not have to be product itself — they only need to span four dimensional product subspace. We now need to construct spectrum of  $U = \sum_i z_i |v_i\rangle\langle v_i|$  so that  $\lambda = 0$  is a compression value. This can be easily done if we recall the well known identity

$$1 + \omega + \omega^2 = 0; \quad \omega = e^{\frac{2\pi}{3}i}. \quad (114)$$

We choose

$$\text{spec}(U) = \{z_i\}_{i=1}^{16} = \{e^{i\xi_1}, \omega e^{i\xi_1}, \omega^2 e^{i\xi_1}, e^{i\xi_2}, \omega e^{i\xi_2}, \omega^2 e^{i\xi_2}, e^{i\xi_3}, \omega e^{i\xi_3}, \omega^2 e^{i\xi_3}, e^{i\xi_4}, \omega e^{i\xi_4}, \omega^2 e^{i\xi_4}, e^{i\xi_5}, e^{i\xi_6}, e^{i\xi_7}, e^{i\xi_8}\}$$

with arbitrary  $\xi_i \neq \xi_j$  (at least for  $i, j = 1, 2, 3, 4$ ). As it was mentioned earlier, we can construct triangles from the eigenvalues, that is we can now take  $\delta_m = \{e^{i\xi_m}, \omega e^{i\xi_m}, \omega^2 e^{i\xi_m}\}$  and due to Eq. (114) this means that indeed  $\lambda = 0$  is a compression value since we can set the numbers  $\alpha$ 's to be all equal to  $1/3$  (see Eq. (8)). It remains now to properly choose eigenvectors. This construction uses the same arithmetic identity. We take  $|v_i\rangle$  to be such that all terms in superposition besides the first one cancel due to Eq. (114) when added with coefficients  $1/\sqrt{3}$  stemming from Eq. (9). The states remaining after the summation should sum to a product projector. We have explicitly in a closed form

$$\begin{pmatrix} |v_i\rangle \\ |v_{i+1}\rangle \\ |v_{i+2}\rangle \end{pmatrix} := \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega & \omega^2 \\ 1 & \omega^2 & \omega \end{pmatrix} \begin{pmatrix} |u_i\rangle \\ |u_{i+1}\rangle \\ |u_{i+2}\rangle \end{pmatrix}, \quad i = 1, 4, 7, 10 \quad (115)$$

with orthonormal states  $|u_i\rangle$  for  $i = 1, 4, 7, 10$  such that

$$\sum_{i=1,4,7,10} |u_i\rangle\langle u_i| = R \otimes R'. \quad (116)$$

We could, for example, take two-qubit Bell states embedded in a two ququart space, *i.e.*,

$$\begin{aligned} |u_{1,4}\rangle &= \frac{1}{\sqrt{2}} (|00\rangle \pm |11\rangle), \\ |u_{7,10}\rangle &= \frac{1}{\sqrt{2}} (|01\rangle \pm |10\rangle). \end{aligned} \quad (117)$$

The rest of eigenvectors may be chosen arbitrarily with the only restriction that all  $|v_i\rangle$  are orthonormal.

Let us summarize for clarity all the elements. We have

$$\sum_{i=0}^2 \frac{1}{3} z_{i+k} = 0, \quad k = 1, 4, 7, 10, \quad (118)$$

which ensures that  $\lambda = 0$  belongs to numerical range. We then assume that Eq. (116) holds so we can define

$$|\psi_k\rangle := \frac{1}{\sqrt{3}} \sum_{m=0}^2 |v_{m+k}\rangle = |v_k\rangle, \quad k = 1, 4, 7, 10, \quad (119)$$

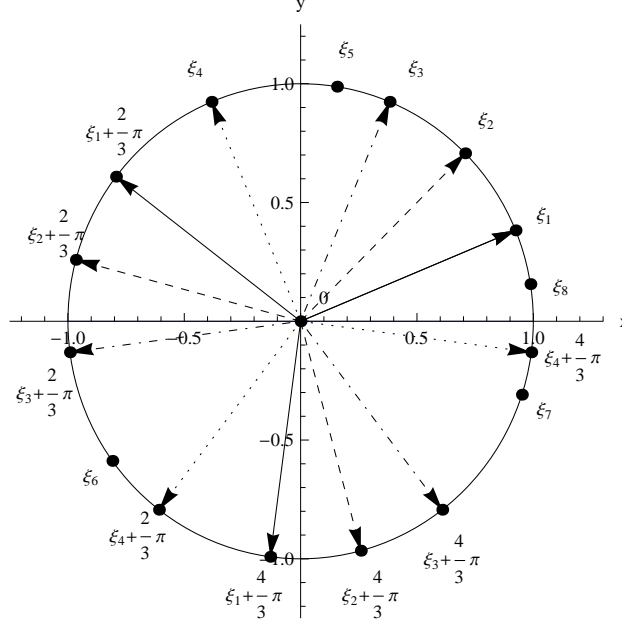


Fig. 5. Distribution of eigenvalues from Eq. (115) (for clarity we have written only phases). The arrows represent the summations of Eq. (118).

which properly sums to a product code.

We also propose an alternative construction of eigenvectors. The following set of vectors  $|v_k\rangle$  allows us to construct a code

$$\begin{pmatrix} |v_k\rangle \\ |v_{k+1}\rangle \\ |v_{k+2}\rangle \end{pmatrix} := \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & \sqrt{2}e^{i\alpha_k} & 0 \\ 1 & -\frac{\sqrt{2}}{2}e^{i\alpha_k} & \frac{\sqrt{6}}{2}e^{i\alpha_k} \\ 1 & -\frac{\sqrt{2}}{2}e^{i\alpha_k} & -\frac{\sqrt{6}}{2}e^{i\alpha_k} \end{pmatrix} \begin{pmatrix} |u_k\rangle \\ |u_{k+1}\rangle \\ |u_{k+2}\rangle \end{pmatrix}, \quad k = 1, 4, 7, 10 \quad (120)$$

with some arbitrary phases  $\alpha_j$  and  $|u_k\rangle$  defined as previously.

One can check that in the considered case of highly regular spectrum requirement about non degeneracy of spectrum can be relaxed and the phases  $\xi_k$  for  $k = 5, 6, 7, 8$  can be completely arbitrary.

Consider now a similar example in  $d = 3$  in which, instead of triangles, we will consider construction of sections crossing in the  $z = 0$  point so that it belongs to the numerical range.

We have  $U = \sum_{j=1}^9 e^{i\alpha_j} |\phi_j\rangle\langle\phi_j|$  with  $\alpha_i > \alpha_j$  for  $i > j$ , and  $\alpha_1 = \alpha_5 - \pi$ ,  $\alpha_2 = \alpha_5 - \pi$ ,  $\alpha_3 = \alpha_7 - \pi$  with an additional constraint that  $0 \in \text{conv}(e^{i\alpha_4}, e^{i\alpha_5}, e^{i\alpha_9})$ . Fig. 6 shows an exemplary distribution of eigenvalues.

In general:

$$R \otimes R' = U_A \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} U_A^\dagger \otimes U_B \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} U_B^\dagger = (\mathbb{1} - |f_3\rangle\langle f_3|) \otimes (\mathbb{1} - |w_3\rangle\langle w_3|) \quad (121)$$

with some unitary  $U_A$  and  $U_B$  and corresponding vectors  $|f_3\rangle$  and  $|w_3\rangle$ .



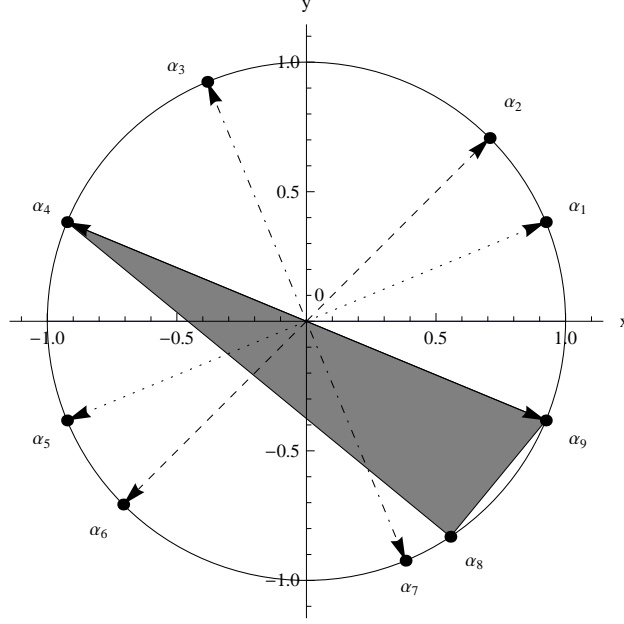


Fig. 6. Exemplary distribution of eigenvalues on unit circle. We have assumed, as further in the main text, that  $\alpha_4 = \alpha_9 - \pi$ . Moreover,  $\alpha_1 = \alpha_5 - \pi$ ,  $\alpha_2 = \alpha_6 - \pi$ ,  $\alpha_3 = \alpha_7 - \pi$ . The arrows represent the summation, Eq. (123), giving rise to the compression value 0.

Set now the Fourier matrix  $F_3$  in place of the local unitaries  $U_A$  and  $U_B$ :

$$U_A = U_B = F_3 = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & \omega & \omega^2 \\ \omega^2 & 1 & \omega \\ \omega & \omega^2 & 1 \end{pmatrix}, \quad \omega = e^{i\frac{2\pi}{3}}. \quad (122)$$

Then  $|f_1\rangle = (1, \omega, \omega^2)^T$ ,  $|f_2\rangle = (\omega^2, 1, \omega)^T$ ,  $|f_3\rangle = (\omega, \omega^2, 1)^T$ ,  $|w_i\rangle = |f_i\rangle$ . We now define properly eigenstates of  $U$ , which could lead to a product code  $P = \sum_{i=1}^4 |\psi_i\rangle\langle\psi_i| = R \otimes R'$ ,  $|\psi_1\rangle = |f_1\rangle \otimes |f_1\rangle$ ,  $|\psi_2\rangle = |f_1\rangle \otimes |f_2\rangle$ ,  $|\psi_3\rangle = |f_2\rangle \otimes |f_1\rangle$ ,  $|\psi_4\rangle = |f_2\rangle \otimes |f_2\rangle$ , with a simplifying assumption  $\alpha_4 = \alpha_9 - \pi$ . By choosing our eigenvalues so that

$$\frac{1}{2}z_j + \frac{1}{2}z_{j+5} = 0, \quad j = 1, 2, 3, 4 \quad (123)$$

we ensured that we can set

$$|\psi_j\rangle := \frac{1}{\sqrt{2}} (|\phi_j\rangle + |\phi_{j+5}\rangle), \quad j = 1, 2, 3, 4. \quad (124)$$

It remains to choose  $|\phi_i\rangle$  so that  $|\psi_i\rangle$  are product. For example, one choice could be

$$|\phi_{1,6}\rangle = \frac{1}{\sqrt{2}}(|f_1\rangle \otimes |f_1\rangle \pm |f_3\rangle \otimes |f_2\rangle), \quad (125)$$

$$|\phi_{2,7}\rangle = \frac{1}{\sqrt{2}}(|f_1\rangle \otimes |f_2\rangle \pm |f_2\rangle \otimes |f_3\rangle), \quad (126)$$

$$|\phi_{3,8}\rangle = \frac{1}{\sqrt{2}}(|f_2\rangle \otimes |f_1\rangle \pm |f_1\rangle \otimes |f_3\rangle), \quad (127)$$

$$|\phi_{4,9}\rangle = \frac{1}{\sqrt{2}}(|f_2\rangle \otimes |f_2\rangle \pm |f_3\rangle \otimes |f_1\rangle). \quad (128)$$

Our construction is in fact such that 0 belongs to the symmetric product higher rank numerical range.

## 7 Discussion and conclusions

Motivated by the form of Knill–Laflamme conditions for multiple access channels, we have introduced the notion of the product higher rank numerical range as a tool helpful in constructing quantum error correction codes for such type of quantum channels. Several useful extensions of it, namely: the symmetric product range and the common product range have also been discussed. Techniques for bounding the product range and some analytical techniques for findings ones in some cases have been introduced. We have applied our findings to construction of error correction codes for a class of two-access biunitary quantum channel. The reverse problem of finding the noise model for a given product error correction code has also been considered.

Concluding, we state some open problems related to the subject. Among them, determination of the shape of the product higher rank numerical range for different types of operators seems to be one of the most important ones. In particular, for the case of  $d = 3$ , it should be verified whether the  $2 \otimes 2$  product range of a projection operator is at most a one-element set. If this is indeed the case, the uniqueness (Observation 20) is a general feature in this setting. Also the issue of simple-connectivity of the set  $\Lambda_{k_1 \otimes k_2 \otimes \dots}(A)$  in arbitrary dimensions is of a particular interest. From the point of view of quantum error correction special attention should be devoted to normal operators.

We must note that in principle there exists a method for judging whether a given value  $\tilde{\lambda}$  belongs to the product range of a given operator (and determining the corresponding projection if it does belong). It goes as follows (for simplicity we focus on the bipartite range). We set  $P_0 = |0\rangle\langle 0| + |1\rangle\langle 1|$  and parametrize unitary operators  $U$  and  $V$ . Then we compute  $D := \min_{U,V} \|P_0 \otimes P_0 U \otimes V A U^\dagger \otimes V^\dagger P_0 \otimes P_0 - \tilde{\lambda} P_0 \otimes P_0\|$  for some appropriately chosen norm  $\|\cdot\|$  [39]. Clearly, if  $D$  cannot be made smaller than the prescribed accuracy then  $\tilde{\lambda}$  does not belong to the range. However, it is obvious that we need something better for practical purposes. There is thus a pressing need for designing an efficient procedure for finding explicit forms of product projections from the product codes set.

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